

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substituted form 1003 PTO

**Complete if Known**

*(Use as many sheets as necessary)*

Sheet

1

of

1

Application Number	10/661,239
Filing Date	September 12, 2003
First Named Inventor	David D. Brandt
Art Unit	2121
Examiner Name	Unknown
Attorney Docket Number	03A8014A/ALBRP303USA

[illegible][illegible]

**Examiner  
Signature**

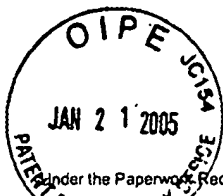
Date Considered

12/8/05

\*EXAMINER: Initial if reference considered; whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

***If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.***



PTO/SB/08b (08-03)  
Approved for use through 06/30/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/661,239		
		Filing Date	September 12, 2003		
		First Named Inventor	David D. Brandt		
		Art Unit	2121		
		Examiner Name			
Sheet	1	of	1	Attorney Docket Number	03AB014A/ALBRP303USA

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
TP		F. OLACHEA; Partial European Search Report; EP 03 02 3916; The Hague, November 16, 2004.	
		INSIGHT CONSULTING; Insight on CRAMM IV; Insight Consulting Online; November 30, 2001; <a href="http://www.infosec-associates.com/framework/downloads/insight_cramm.pdf">http://www.infosec-associates.com/framework/downloads/insight_cramm.pdf</a>	
		INTERKAMA; DuPont Shares Network Security Methodology; Interkama Online, March 15, 2002; <a href="http://www3.interkama.com/cipp/interkama/custom/pub/content_lang_2/ticket_g_u_e_s_toid,3781">http://www3.interkama.com/cipp/interkama/custom/pub/content_lang_2/ticket_g_u_e_s_toid,3781</a> .	
		ROCKWELL AUTOMATION; Rockwell Automation and DuPont Engineering sign worldwide licensing agreement to standardize and improve industrial computer networking security; Online, March 7, 2002; <a href="http://domino.automation.rockwell.com/applications/gs/region/grwebst.nsf/pages/Rockwell_Automation_and_DuPont_Engineering_Sign...">http://domino.automation.rockwell.com/applications/gs/region/grwebst.nsf/pages/Rockwell_Automation_and_DuPont_Engineering_Sign...</a>	
		D. SPINELLIS, S. KOKOLAKIS and S. GRITZALIS; Security Requirements, risks and recommendations for small enterprise and home-office environments; Information Management & Computer Security; Online, vol. 7, no. 3, December 31, 1999; <a href="http://www.dmst.aueb.gr/dds/pubs/jml/1999-IMCS-Soft-Risk/html/soho.pdf">http://www.dmst.aueb.gr/dds/pubs/jml/1999-IMCS-Soft-Risk/html/soho.pdf</a>	
		WILMINGTON SECTION ISA; Wilmington ISA Section Plant Tour of Astropower; ISA Online, March 21, 2001; <a href="http://www.isa.org/wilmdel/Sensor/Mar01.pdf">http://www.isa.org/wilmdel/Sensor/Mar01.pdf</a>	
		D. HARROLD; Control Engineering; Online, March 1, 2003; <a href="http://www.manufacturing.net/ctl/article/CA283196">http://www.manufacturing.net/ctl/article/CA283196</a> .	
TP		L. FALKENAU; Site networking and beyond; ISA, Online, July 1, 2003; <a href="http://www.isa.org/InTechTemplate.cfm?Section=Departments4&amp;template=/ContentManagement/ContentDisplay.cfm&amp;ContentID=28025">http://www.isa.org/InTechTemplate.cfm?Section=Departments4&amp;template=/ContentManagement/ContentDisplay.cfm&amp;ContentID=28025</a> .	

Examiner Signature		Date Considered	12/8/05
--------------------	--	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

2

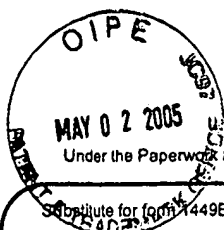
Application Number	10/661,239
Filing Date	September 12, 2003
First Named Inventor	David D. Brandt
Art Unit	2121
Examiner Name	Unknown
Attorney Docket Number	0AB014A/ALBRP303USA

[illegible]

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>2</sup> Number* Kind Code <sup>6</sup> (if known)				
TP		WO 01/26335 A2	04-12-2001	Sensoria Corp.		
TP		GB 2 368 701 A	05-08-2002	Fisher Rosemount Systems		

12/8/05

***If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.***



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08b (08-03)

Approved for use through 06/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449B/PTO

# **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

## **Complete If Known**

Application Number	10/661,239
Filing Date	September 12, 2003
First Named Inventor	David D. Brandt
Art Unit	2121
Examiner Name	Unknown
Attorney Docket Number	0AB014A/ALBRP303USA

Sheet 2 of 2

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		<del>F. OLACHEA. Partial European Search Report. February 18, 2005. 5 pages. The Hague.</del> <i>not considering search report</i>	
TP		N. JONES, et al. "Introducing EtherNET/IP". 6th ODVA annual meeting March 8, 2000. Retrieved from the Internet: URL: <a href="http://www.odva.org/10_2/03_events/NewEtherNet/EtherNet-IPOverview.ppt">http://www.odva.org/10_2/03_events/NewEtherNet/EtherNet-IPOverview.ppt</a> retrieved on November 11, 2004. 25 pages.	
TP		T. CUTLER. "Wireless Ethernet and How To Use It". The Online Industrial Ethernet Book. October 10, 2002. Retrieved from the internet: URL: <a href="http://web.archive.org/web/20021020173452/http://ethernet.industrial-networking.com/articles/i05wirelessethernet.asp">http://web.archive.org/web/20021020173452/http://ethernet.industrial-networking.com/articles/i05wirelessethernet.asp</a> retrieved on November 18, 2004. 3 pages.	
TP		A.C. WEAVER. Institute of Electrical and Electronics Engineers: "Factory Monitoring and Control Using The Internet". Proceedings of the 27th Annual Conference of the IEEE Industrial Electronics Society. Denver, CO, November 29-December 2, 2001, Annual Conference of the IEEE Industrial Electronics Society, New York, NY: IEEE, US, Vol. 1 of 3 CONF. November 27 and 29, 2001. pages 1639-1645. 32 pages.	
TP		J. RINALDI. "Introduction to Ethernet/IP". The Online Industrial Ethernet Book. September 30, 2002. Retrieved from the internet: URL: <a href="http://ethernet.industrial-networking.com/articles/illeternet.asp">http://ethernet.industrial-networking.com/articles/illeternet.asp</a> retrieved on November 18, 2004. 2 pages.	

Examiner Signature

*ph phm*

Date Considered

12/08/05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.